

# Scope without Syntax

## Towards a Game Theoretic Approach

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September 27, 2017

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  - ▶ Many others – numerals, much, many, few, etc.
- For the purposes of sentence interpretation, quantifiers are quite a puzzle. Especially when there are multiple quantifiers in a sentence, a sentence may become ambiguous.



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  - ▶ Sensitive to linear order

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- This can partially be modeled in Game Theory, seeing that speakers are mutually evaluating the others' behavior and choosing how to word or interpret sentences based on that.
- This can allow us to formally analyze an apparent "functional" alternation.

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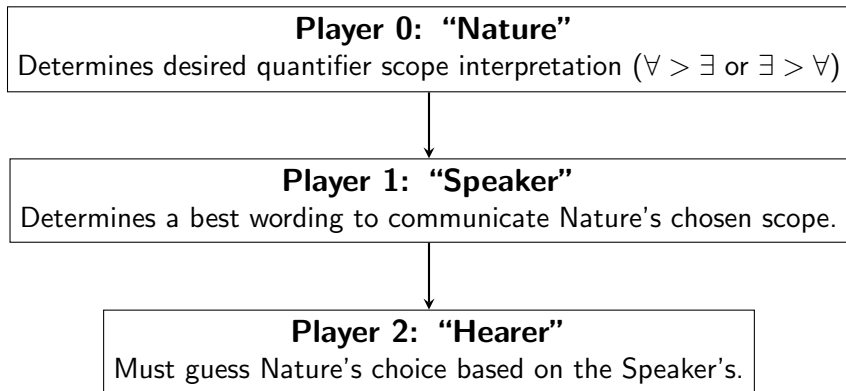
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- However speakers *assume* Billy didn't eat *all* the chocolates because if that were true, a speaker probably would've said so.
- Normal human:
  - ▶ "If he wanted to say 'Billy ate all the chocolates', he would've said just that!"



# Our Quantifier Scope Game



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- Scrambling (to be discussed later), as opposed to transformations are not similarly costly.

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- Here, the strongly preferred reading is the one where there is a pair of men for each hole ( $\forall > \exists$ ), while the case where there is two specific men for each hole is harder to get out of the blue.

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- Passive sentences tend to be unambiguous, preferring only surface scope.

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- This game is **non-zero sum Coordination Game**, meaning that both active players' interests are aligned.
- The players **do not** have perfect information. While the Hearer knows what the Speaker's strategy is, he does not know what Nature has chosen.

# The Decision Tree

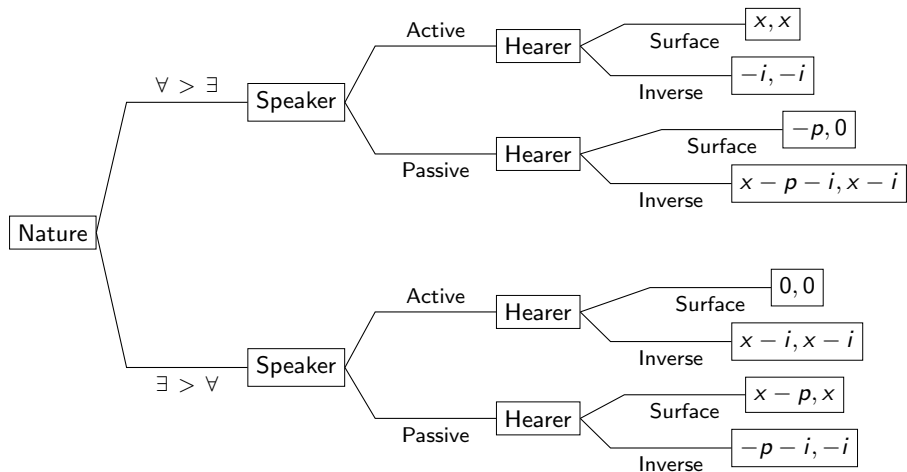


Figure: Decision Flow of the Game of “Everybody loves somebody”

# Matrix for when Nature chooses $\forall > \exists$

		Speaker	
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Hearer	Surface	$x$	$-y$
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  - ▶ Either the active sentence is already in the right order. . .
  - ▶ or it is not, but the Speaker didn't want to accrue the passive penalty ( $p$ ).

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- However, both of these sentences *must have surface scope*. They cannot be ambiguous.

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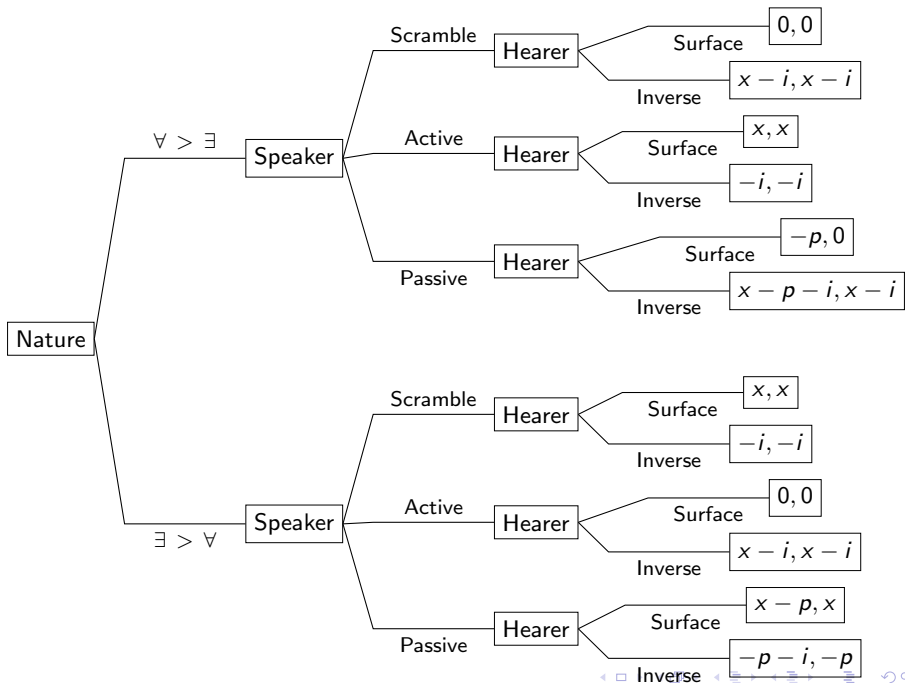
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- Given our previous suggested constraints, we can predict these scope availabilities.
- Remember, **surface scope** is preferred and **transformations** are costly.
- However, **scrambling** is not similarly costly... so it's a new strategy.





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- Seeing this, the Hearer's best strategy should always be to assume **surface scope**.
- Therefore, for each sentence (active or scrambled), there should only be only one unambiguous interpretation.

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- The “markedness” of inverted scope or passivization are *vital* to communication, as they signal the Speaker’s intention and indirectly create the focal points.

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- These two possibilities produce scope ambiguity.

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- **Sidenote:** Potentially related, languages with scrambling/flexible word order, usually rely on things like passivization less often.

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- This holds in similar languages with scrambling and stable negation location (e.g. Korean).

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- This difference, in agreement with our theory, is true *across constructions*, not necessarily *across languages*.
- “Scrambling” languages are unambiguous in normal sentences, but are in more rigid constructions, ambiguity arises.

# Rigidity = Ambiguity; Flexibility = Unambiguousness

- The general theorem that arises from this analysis is that *wherever* we have syntactic flexibility, we have ambiguity (and *vice versa*.)
- This difference, in agreement with our theory, is true *across constructions*, not necessarily *across languages*.
- “Scrambling” languages are unambiguous in normal sentences, but are in more rigid constructions, ambiguity arises.
  - ▶ This is because the ambiguity is not a language-specific parameter, but a result of the strategies employable in any given context.

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(18) Billy could have not gone before we arrived.

## But in languages where negation is *always* flexible...

... like Chinese, we *always* have a lack of ambiguity!

(19) Shujuan keyi **bu** gen Guorong tiao wu.

Shujuan may not with Guorong dance

“Shujuan is permitted not to dance with Guorong.” (*may* >  $\neg$ )

(20) Shujuan **bu** keyi gen Guorong tiao wu.

Shujuan not may with Guorong dance

“Shujuan can’t dance with Guorong.” ( $\neg$  > *may*)

# Empirical summary

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Rigid constructions

Flexible constructions

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Rigid constructions

English main clauses

Flexible constructions

Main clauses in scrambling languages

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## Rigid constructions

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English negation with auxes

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English negation without auxes

# Empirical summary

## Rigid constructions

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**All of these are ambiguous.**

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English Passives\*

**All of these are non-ambiguous.**

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- Without any syntactic machinery, we have already done a lot of the work of narrowing in on what interpretations are possible.
- But the story is not done yet!

# Project Extension

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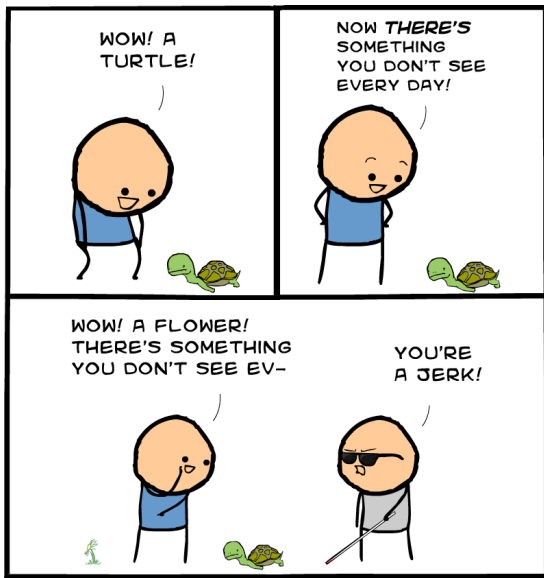
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- Similar accounts for related phenomena? C-command? Cross-over?
- Extensive Game Theory w.r.t different quantifiers and remodelling given data.

# The End



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